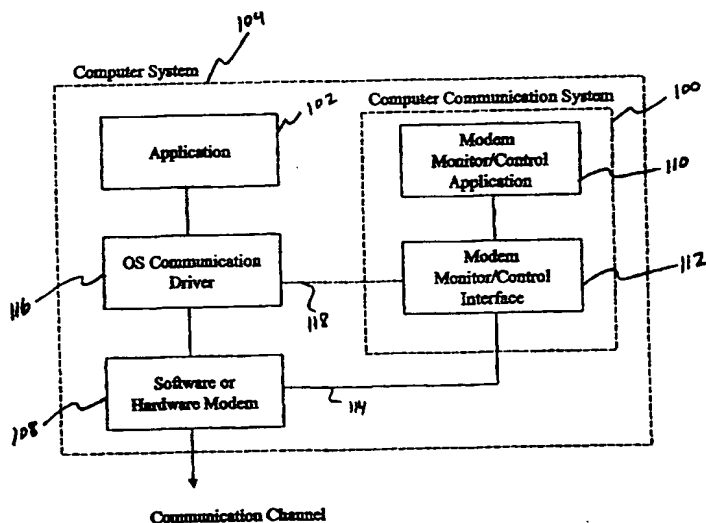




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(54) Title: METHOD AND APPARATUS FOR MONITORING, CONTROLLING, AND CONFIGURING LOCAL COMMUNICATION DEVICES



## (57) Abstract

A communication system for monitoring and/or controlling communication parameters of a communication device. The communication system monitors a communication channel that is created when the communication device connects to a network, controls the communication device as it operates on the network, and configures the communication device. The communication device is commonly a modem and is communicatively coupled to the network to carry out ongoing communications between the modem and the network through the communication channel. Further, a software module is associated with the modem, and the software module accesses the internal settings of the modem via the communication channel (if necessary) and performs operations such as monitoring, controlling, and configuring the modem (or other communication device) using the internal settings of the modem.

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**INTERNATIONAL APPLICATION**  
**UNDER THE PATENT COOPERATION TREATY**

5                   **TITLE:       Method and Apparatus for Monitoring, Controlling, and**  
                              **Configuring Local Communication Devices**

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**1.       Technical Field**

15               The present invention relates to communication systems and more particularly to a  
computer communication system that, among other things, monitors, controls, and configures  
communication parameters of the computer communication system while one computer system  
communicates with another computer system.

20       **2.       Background Art**

              In traditional implementations, control and monitoring of computer communication  
systems primarily concern monitoring and controlling internal parameters of modems and are  
performed through the use of modem control strings such as "AT commands". AT commands  
require a user to switch the modem from data to command mode so that the modem can be  
25       controlled with AT commands. Thus, AT commands interfere with the typical data flow of the  
modem and the commands do not reflect the true state of the modem in real time. Of note, in  
some traditional hardware modem implementations, limited control and status monitoring  
capabilities are obtained through adding special non-standard hardware interfaces. However,  
these special hardware interfaces are a relatively expensive solution to the problem of real time  
30       modem monitoring and the usage is limited due to its complexity.

If the user chooses not to add the additional network equipment to retrieve the modem information, the user is forced to rely on verbal guidance from another person, such as a support technician, located at a second modem site. This support technician views the parameters of the modem connection from their end of the connection, performs a modem diagnosis based on  
5 available resources, and reports configuration options to the user for manual modem control and monitoring. Clearly, this process for modem monitoring and control is unsatisfactory because, among other things, the process requires detailed and easily misunderstood verbal instructions for modem configuration, the process requires the modem to be switched from data to command mode to enter the diagnostic commands for modem configuration, and at least two people are  
10 required to diagnose and configure a single modem. Thus, the monitor and configuration process is time consuming and frustrating for those involved.

Of current interest is a computer communication system that overcomes the disadvantages of the related art. Among other advantages and benefits, the computer communication system according to the principles of the present invention monitors, controls,  
15 and configures communication parameters of the computer communication system while one computer system communicates with another computer system. In one embodiment, the computer communication system provides a modem monitor and control system that provides modem monitoring and control without requiring user interaction or switching the modem between data and command modes.

### DISCLOSURE OF THE INVENTION

Various aspects of the present invention can be found in a communication system for monitoring and controlling communication parameters of a communication device. The communication system includes a communication device (often a modem) and a communication channel. The communication device, e.g., the modem, has internal settings representing communication parameters and is communicatively coupled to the communication channel to carry out ongoing communications from the modem through the communication channel. The communication system also includes a software module that is associated with the modem. The software module accesses the internal settings of the modem via the communication channel and performs diagnostics using the internal settings of the modem.

The software module of the communication system also includes a modem interface that interacts with the software module and assists the software module in performing diagnostics using the internal parameters of the modem. The software module accesses the communication channel transparently to the ongoing communications from the modem when the software module performs the diagnostics using the internal parameters of the modem. The software module may also access the communication channel without detrimentally affecting the ongoing communications across the communication channel. The software module may also perform diagnostics using the internal parameters of the modem via the same communication channel that is used to carry out ongoing communications to and from the modem.

In other embodiments, the diagnostics performed by the software module of the communication system comprises monitoring a data stream in the communication channel. The diagnostics performed by the software module may comprise configuring the internal settings of the modem based on information obtained regarding a data stream to and from the modem. The diagnostics may also comprise controlling the internal settings of the modem according to information obtained regarding a data stream to and from the modem. The communication system may also include a user interactive interface in the software module

for diagnostics and a plurality of software modules associated, respectively, with each of a plurality of modems.

The modem of the communication system is frequently communicatively coupled to the communication channel and thus to a network. The network is selected from the group  
5 consisting of at least a local area network, a wide area network, and a global area network.

Various other aspects of the present invention can be found in a communication system comprising a first communication device having internal parameters, a second communication device having internal parameters and being communicatively coupled to the first communication device, a communications link that passes a data stream between the first  
10 communication device and the second communication device, and a module associated with the communications link that adjusts the internal parameters of the first communication device based on characteristics of the internal parameters of the first communication device, the second communication device, or both.

The module of the communication system may also include a communication  
15 interface that interacts with the communications link such that the module operates transparently to the data stream of the communications link. Further, the first communication device may comprise a local communication device and the second communication device comprises a remote communication device. The first communication device and the second communication device, respectively, often comprise a first modem and a second modem.  
20 The communications link often operates on a network being selected from the group consisting of a local area network, a wide area network, and a global area network. In many embodiments, the communication system comprises a computer communication system and the module adjusts the internal parameters of the first communication device based on characteristics of the internal parameters of the first communication device, the second  
25 communication device, or both.

Still further aspects of the present invention are found in a method for adjusting parameters of a communication system. The method comprises establishing a communications link between a first communication device and a second communication

device, each communication device having internal parameters influencing communication protocols on the communications link; obtaining a software module for interacting with the communications link; retrieving, with the software module, characteristics of the first communication device based on the internal parameters of the first communication device,  
5 the second communication device, or both; and adjusting the internal parameters according to the retrieved characteristics to optimize communication between the first and the second communication devices on the communications link.

Adjusting the internal parameters may include adjusting the internal parameters of the first communication device, and, in addition, adjusting the internal parameters may include  
10 monitoring or controlling the internal parameters of the first communication device. Further, retrieving characteristics of the first communication device may comprise retrieving the characteristics transparently to the data passing through the communications link and/or retrieving the characteristics such that the data passing through the communications link is not detrimentally affected.

15 In other aspects of the present invention, the communication system monitors a communication channel that is created between a first modem and a second modem and controls the first modem by adjusting internal settings of the first modem that represent communication parameters. The second modem is communicatively coupled to the first modem to carry out ongoing communications between the first modem and the second  
20 modem through the communication channel. Further, a software module is associated with the first modem, and the software module accesses the internal settings of the first modem, via the communication channel or otherwise, and performs diagnostics using the internal settings of the first modem. Of course, the software module could access the internal settings of the first modem directly to perform diagnostics using the internal settings of the first  
25 modem.

The software module of the communication system typically includes a modem interface that interacts with the software module and assists the software module in performing diagnostics using the internal parameters of the first modem. Also, whether monitoring or controlling the first modem, the software module accesses the communication

channel transparently to the ongoing communications between the first modem and the second modem when the software module performs the diagnostics. Further, the software module accesses the communication channel without detrimentally affecting the ongoing communications between the first modem and the second modem.

5        In another embodiment, the software module configures the first modem using the internal parameters of the first modem.

      The diagnostics performed by the software module of the communication system include monitoring a data stream in the communication channel in view of the internal settings of the first modem. Further, the diagnostics performed by the software module  
10       comprise configuring the internal settings of the first modem based on information obtained regarding the data stream between the first modem and the second modem. In addition, the diagnostics performed by using the software module comprise controlling the internal settings of the first modem according to information obtained regarding the data stream between the first modem and the second modem.

15       It should be noted that the software module may include either a user interactive interface for diagnostics, or an automatic interface for diagnostics that requires no further user interaction. Further, the communication system may include a plurality of software modules being associated, respectively, with each of a plurality of modems. Regardless of the number of modems in the communication system, the modems are communicatively coupled via a  
20       network. The network is typically selected from the group consisting of a local area network, a wide area network, and a global area network, however, the network may include any combination of a local, wide, or global area network. In other words, the network could operate according to almost any existing network protocol, e.g., a peer-to-peer network, a transmission control protocol/Internet protocol network (TCP/IP), etc.

25       In another embodiment, the present invention can be described as a communication system comprising a first communication device having internal parameters; a second communication device having internal parameters and being communicatively coupled to the first communication device; a communications link that passes a data stream between the first



communication device and the second communication device; and a module associated with the communications link that adjusts the internal parameters of the first communication device based on characteristics of the internal parameters of the first communication device.

In this embodiment, the module may include a communication interface that interacts with the communications link such that the module operates transparently to the data stream of the communications link. Further, the first communication device may be a local communication device and the second communication device may be a remote communication device. In addition, similar to the first embodiment, the communications link operates on a network such as a local area network, a wide area network, or a global area network or a combination thereof. In many embodiments, the communication system is designed for modems operating in a computer communication system. Thus, to assist in understanding the principles according to the present invention, the exemplary embodiments are generally described using computer systems communicating with modems.

### **BRIEF DESCRIPTION OF DRAWINGS**

A better understanding of the present invention can be obtained when the following detailed description of the preferred embodiment is considered in conjunction with the following drawings, in which:

Figure 1 is a block diagram of an exemplary computer communication system according to the principles of the present invention wherein the system is associated with an application for providing a computer system access to a communication channel via a modem.

Figure 2 is a block diagram of an exemplary modem monitor/control interface of the computer communication system of Figure 1.

Figure 3 is a block diagram illustrating an exemplary modem for operation with the computer communication system of Figure 1.

Figure 4 is a block diagram of an exemplary computer communication system for monitoring and controlling both a local modem and a remote modem over a telephone line.

Figure 5 is a block diagram of exemplary computer communication systems operating modem monitor/control applications, respectively, on both a client modem and a server  
5 modem in a peer-to-peer network.

Figure 6 is a block diagram of exemplary computer communication systems operating modem monitor/control applications, respectively, on both a local computer system and a remote computer system, the systems communicating across the Internet.

Figure 7 is a block diagram of an exemplary computer communication system  
10 operating according to simple network management protocol (SNMP) parameters such that a management application provides for trouble shooting of a local modem from remote locations.

### **MODE(S) FOR CARRYING OUT THE INVENTION**

Figure 1 is a block diagram of an exemplary computer communication system 100 that operates according to the principles of the present invention. For ease of understanding, the system 100 is associated with a computer software application 102 for providing a computer system 104 access to a communication channel 106 via a communication device such as a modem 108. The computer software application 102 is commonly a typical computer telecommunications application such as a "web browser", viz., Netscape™, Internet Explorer™, etc., or a modem utility, viz., Procomm™, etc. In short, the computer software application 102 utilizes the modem 108 capabilities to communicate with other modems through the communication channel 106. While the computer software application 102 uses the modem 108 to communicate with other modems, the computer communication system 100 examines the modem parameters of the modem 108 to determine if the modem configuration needs to be modified to attain optimal performance through the communication channel 106. As stated, the computer communication system 100 is an exemplary embodiment that is used to facilitate understanding of the principles according to the present invention. It should be understood that the present invention applies equally well to communication systems that operate with communication devices other than modems. However, for ease of understanding, the present invention will be described relative to computer communication systems using modems as the communication devices.

The computer communication system 100 includes a modem monitor/control application 110 that performs diagnostics on the modem 108 through a modem monitor/control interface 112 (the modem monitor/control application 110 and the modem monitor/control interface 112 sometimes collectively referred to herein as a "software module"). Thus, diagnostics can be performed on the "local" modem 108. Advantageously, some of the diagnostics can also occur transparently to ongoing communications in the communication channel 106. Thus, the modem communication connection, a.k.a., the "data stream", of the modem 108 can pass through the communication channel 106 without being detrimentally affected during diagnostics. Further, the diagnostics can be performed via user interaction through the modem monitor/control application 110 or, alternatively, certain diagnostics can be automated and performed independently of user interaction through the

application 110. As stated, if changes in the modem parameters are required to obtain optimal performance in the modem 108, some of the changes can be made without interruption in the data stream. Of course, the modem 108 could be a software modem or a hardware modem or any combination thereof, a pure software modem being defined as a  
5 modem implemented entirely in software and relying on a computer's processor to modulate and demodulate signals. Of note, although graphical line 114 represents direct coupling of the modem monitor/control interface 112 with the modem 108, the modem monitor/control interface 112 could instead be directly coupled to an operating system communication driver 116 as represented by dashed line 118. Further, the term "diagnostics", as used herein, refers  
10 at least to monitoring, controlling, or configuring a modem.

Figure 2 is a block diagram of the exemplary modem monitor/control interface 112 of the computer communication system 100. The modem monitor/control interface 112 includes a modem monitor/control application programming interface (API) 200, a modem monitor/control data link library (DLL) 202 that operates similarly to standard DLL software  
15 components, and a modem monitor/control driver 204 that operates similarly to standard software drivers. The API 200 provides code for monitoring and controlling a software modem while the modem is running or passing a data stream (see Appendixes A, B, and C). API 200 provides an easy method to write applications that provide various diagnostics that monitor parameters that change in real time (such as MSE, baud rate, echo canceller  
20 coefficients, etc.) as well as enabling the writing of applications that allow internal parameters to be controlled while a telephony session is in progress. The API 200 can also provide easy means for field support by looking at various parameters and causing the modem to dump data into a file to be investigated later. Further, trouble shooting can be performed by changing various parameters while a data stream is running through the  
25 modem. Of note, in a preferred embodiment, the API 200 operates asynchronously and in parallel with the ordinary modem operation and does not interfere with the data stream. Thus, API 200 provides a true view of the modem parameters and does not slow the data transfer process.

Appendixes A, B, and C include exemplary embodiments of code portions of the API 200 and include three functions that could be considered the backbone of the API 200. First, the ModemConfigure function configures parameters within the modem and should be called only before the modem is activated. Second, the ModemControl function changes parameters within the modem to control the modem's operation and can be called during modem operation. Finally, the ModemMonitor function returns the current value of a parameter or set of parameters within the modem and can also be called during modem operation. The first parameter of the above functions is a code indicating which parameter (or parameter set) to monitor or change. The codes can be easily extended from time to time to provide additional visibility and control options for the modem. The same interfaces apply for additional parts of the modem such as speakerphone, tone detection/generation, etc. Thus, the computer communication system 100 is extendable and easy to use and can be used to monitor and control a modem without interfering with the ordinary operation of the modem. Further, the computer communication system 100 provides an easy method to develop applications for modem diagnostics and trouble shooting.

Figure 3 is a block diagram illustrating the exemplary modem 108 for operation with the computer communication system 100 that is associated with a computer system 104 for accessing a network. The exemplary modem 108 includes a port driver 300, a controller 302, a data pump abstraction layer (DPAL) 304, an advanced modem operation scheduler (AMOS) 306, a sample buffer management module 308, a hardware interface 310, and signal processing tasks 312. Of course, the exemplary modem 108 could be realized in various manners depending on the number of components implemented in software. The components most suited for either a software or a hardware implementation are the controller 302, the DPAL 304, the AMOS 306, the sample buffer management module 308, and the signal processing tasks 312. Thus, although it is contemplated to implement other components in either hardware or software, the stated components are most commonly implemented in either hardware or software. Advantageously, implementation of the signal processing tasks 312 in software provides modularity and updating of individual tasks without affecting other components of the modem 108. In addition, implementing multiple individual signal

processing tasks 312 allows for more efficient usage of memory in the computer system 104 operating with the modem 108 according to the present invention.

Figure 4 is a block diagram of an exemplary computer communication system 400 for monitoring and controlling, in a computer system 401, a local modem 402 as it communicates with a remote modem 404 of another computer system 405 over a telephone line 406. Similar to the computer communication system 100, the computer communication system 400 includes a modem monitor/control application 408 and a modem monitor/control interface 410. The local modem 402 is monitored/controlled just as the modem 108 is monitored and controlled. In addition, the remote modem 404 can be monitored by the computer communication system 400 by using some of the bandwidth of the telephone line 406. Of course, if the communication devices were not modems and they communicated across something other than a telephone line, similar usage of the bandwidth on the line would enable functionality of the communication system 400.

A data stream is created on the telephone line 406 between the local modem 402 and the remote modem 404, the data stream representing a modem connection. The telephone line 406 is used to transfer modem diagnostics and/or control information to/from the remote modem 404 by either "stealing" some of the data bits or using an alternative channel whenever applicable (e.g., V.34 control channel). The extraction of the diagnostic information can be performed in one of at least two manners.

1. A specific application can be run on the remote side that extracts modem parameters from the data stream and then sends them via the modem to the local side. The specific application can also receive control commands from the local modem and apply the commands to the remote modem.
2. The remote modem itself multiplexes the diagnostic information in the data stream (or the control channel) and monitors control commands without any interference from outside. The multiplexing/demultiplexing can be performed on any of the following two levels: by a data pump, or by an active data protocol (V.42, V.17). This second implementation for extracting diagnostic information from the data

stream is particularly suitable for software modem implementations where the modem can be easily modified for that kind of data manipulation and a wide variety of modem parameters can be extracted (e.g., see ModemMonCtrl API of the Appendixes).

5 In this manner, modem parameters from the remote modem 404 can be monitored and the remote modem 404 can be controlled with new parameters being set in the remote modem 404 from the computer communication system 400. Of course, the data stream between the local modem 402 and the remote modem 404 is ongoing and, potentially, the data stream passes without interruption from the computer communication system 400 regardless of  
10 whether the modems are software, hardware, or combination software/hardware modems.

Figure 5 is a block diagram of exemplary computer communication systems operating modem monitor/control applications, respectively, on both a client modem 500 in a local computer system 501 and a server modem 502 in a remote computer system 503. The local and remote computer systems 501, 503 communicate across a peer-to-peer network 504. A  
15 client computer communication system 506 communicates with the client modem 500 while telecommunication software or application 508 having an operating system communication driver 510 uses the client modem 500 to maintain a modem connection across the peer-to-peer network 504. Similar to the computer communication systems 100 and 400, the client computer communication system 506 operates in a manner to monitor/control the client  
20 modem 500 and/or the server modem 502. The difference in this embodiment pertains to the computer communication systems including both the client computer communication system 506 and a server computer communication system 512. This arrangement is provided to ensure accurate monitoring and/or controlling of both server and client modems. In addition, this embodiment demonstrates the flexibility of the system according to the present invention  
25 and expands the control options of the software.

Figure 6 is a block diagram of exemplary computer communication systems operating modem monitor/control applications, respectively, on both a local computer system 600 having a local modem 601 and a remote computer system 602. The local and remote computer systems 600, 602 communicate across a network 604. This embodiment illustrates

a structure similar to Figure 5 except that, rather than peer-to-peer network 504, the local and remote computer systems 600, 602 communicate across the network 604, the network 604 often being the Internet. Of course, the same advantages and benefits previously described in relation to modem monitoring, controlling, and configuring (a.k.a., diagnostics) are realized when the modem 601 operates so as to access the Internet through an Internet service providers (ISP). Of course, if a communication device other than modem 601 is used to implement communication across the network 604, monitoring/controlling/configuring can be performed in a similar manner as described herein.

Figure 7 is a block diagram of an exemplary computer communication system operating according to simple network management protocol (SNMP) parameters such that computer systems 700 can perform remote trouble shooting of a modem 702 in another computer system 704 by viewing a modem web page 706. This exemplary embodiment demonstrates how a single manager or system administrator, SNMP server 708, monitors and controls numerous client modems across a network 710. The network 710 is commonly a network such as the Internet. In this embodiment, SNMP, a common network management protocol, serves as the underlying communication protocol for the computer systems 700, 704. Thus, a single manager, SNMP server 708, can monitor and control modems such as the modem 702. Of course, other network management protocols could be used to implement the principles according to the present invention and the description of SNMP operating over the network 710 should not be construed to limit the appended claims.

The above-listed sections and included information are not exhaustive and are only exemplary for certain computer/modem/network systems. The particular sections and included information in a particular embodiment may depend upon the particular implementation and the included devices and resources. Although a system and method according to the present invention has been described in connection with the preferred embodiments, it is not intended to be limited to the specific form set forth herein, but, on the contrary, it is intended to cover such alternatives, modifications, and equivalents as can be reasonably included within the spirit and scope of the invention as defined by the appended claims.



## Appendix A

```

#ifndef _MODEM_CTRL_H_
#define _MODEM_CTRL_H_

5  #include <Windows.h>    // To provide types definition, can be replaced by
    any alternative type defining file
    #include "ModemCodes.h"

    #ifdef __cplusplus
10  extern "C" {
    #endif

        VOID WINAPI ModemGetLastError( PCHAR pBuf, DWORD nBuf );

15  /*
    The GetModemCodesVersion function returns the version of the control codes
    header file.
    It should be used to verify coherence between the modem control API user
    and provider.
20  */

        DWORD WINAPI ModemGetCodesVersion();

25  /*
    The ModemOpen function returns a handle that can be used to access
    a data-pump object.

    Parameters:
30  dwDpIdCode - Specifies the type identification code of the data pump.
        This value identifies the specific data pump to be monitored or
        controled.
        The data pump type identification codes are defined by the type
        RK_DP_IDS
35  (file "ModemCodes.h").

    Return Values:
    If the specified data pump type exists and the function succeeds,
    the return value is an open handle to the specified modem.
40  If the function fails, the return value is INVALID_HANDLE_VALUE.
    */

        HANDLE WINAPI ModemOpen(
            DWORD dwDpIdCode
45  );

    /*
    The ModemClose function closes an open object handle.
50  Parameters:
    hModem - Identifies an open object handle to one of the following objects:

```

## CModem

## Return Values:

5 If the function succeeds, the return value is TRUE.

If the function fails, the return value is FALSE.

\*/

```
10  BOOL WINAPI ModemClose(
        HANDLE hModem        // handle to object to close
    );
```

/\*

15 The functions: ModemConfigure, ModemControl, ModemMonitor  
send a control code to a specified CModem object,  
causing the corresponding device to perform the specified operation.  
ModemConfigure has to be called BEFORE the specified modem has been  
activated.

20 ModemControl and ModemMonitor may be called DURING modem operation.

## Parameters:

hModem - Handle to the CModem instance that is to perform the operation.

Call the CreateModem function to obtain a CModem handle.

25 dwConfigCode/dwControlCode/dwMonitorCode - Specify the control code for the  
operation.

This value identifies the specific configuration to be  
performed by

ModemConfigure/ModemControl/ModemMonitor respectively.

30 The control codes are defined by types

RK\_CFG\_CODES/RK\_CTL\_CODES/RK\_MON\_CODES

(file "ModemCodes.h").

pInBuffer - Pointer to a buffer that contains the data required to perform  
the operation.

35 This parameter can be NULL if the dwConfigCode parameter  
specifies an operation

that does not require input data.

nInBufferSize - Specifies the size, in bytes, of the buffer pointed to by  
pInBuffer.

40 pOutBuffer - Pointer to a buffer that receives the operation's output data.

This parameter can be NULL if the dwConfigCode parameter  
specifies an operation

that does not produce output data.

45 nOutBufferSize - Specifies the size, in bytes, of the buffer pointed to by  
pOutBuffer.

pBytesReturned - Pointer to a variable that receives the size, in bytes,  
of the data stored into the buffer pointed to by pOutBuffer.

## Return Values:

50 If the function succeeds, the return value is TRUE.

If the function fails or the specified operation is not supported  
for the specified object, the return value is FALSE.

\*/

```
55  BOOL WINAPI ModemConfigure(
```

```

        HANDLE hModem,                // handle to CModem instance of
interest
        DWORD dwConfigCode,           // control code of operation to perform
        PVOID pInBuffer,              // pointer to buffer to supply input data
5        DWORD nInBufferSize,         // size of input buffer
        PVOID pOutBuffer,             // pointer to buffer to receive output data
        DWORD nOutBufferSize,         // size of output buffer
        PDWORD pBytesReturned         // pointer to variable to receive output byte
count
10    );

    BOOL WINAPI ModemControl(
        HANDLE hModem,                // handle to CModem instance of
interest
15    DWORD dwControlCode,             // control code of operation to perform
        PVOID pInBuffer,              // pointer to buffer to supply input data
        DWORD nInBufferSize,         // size of input buffer
        PVOID pOutBuffer,             // pointer to buffer to receive output data
        DWORD nOutBufferSize,         // size of output buffer
20    PDWORD pBytesReturned           // pointer to variable to receive output byte
count
    );

    BOOL WINAPI ModemMonitor(
25    HANDLE hModem,                // handle to CModem instance of
interest
        DWORD dwMonitorCode,         // control code of operation to perform
        PVOID pInBuffer,              // pointer to buffer to supply input data
        DWORD nInBufferSize,         // size of input buffer
30    PVOID pOutBuffer,               // pointer to buffer to receive output data
        DWORD nOutBufferSize,         // size of output buffer
        PDWORD pBytesReturned         // pointer to variable to receive output byte
count
35    );

#ifdef __cplusplus
}
#endif
40 #endif // _MODEM_CTRL_H_

```

## Appendix B

```

#ifndef _MODEM_CODES_H_
#define _MODEM_CODES_H_

5  #define MODEM_CODES_VERSION 8

    // rate masks returned by RKMON_SUPPORTED_BIT_RATE
    #define RK_RATE_MASK_75 0x00000001
    #define RK_RATE_MASK_300 0x00000002
10  #define RK_RATE_MASK_600 0x00000004
    #define RK_RATE_MASK_1200 0x00000008
    #define RK_RATE_MASK_2400 0x00000010
    #define RK_RATE_MASK_4800 0x00000020
    #define RK_RATE_MASK_7200 0x00000040
15  #define RK_RATE_MASK_9600 0x00000080
    #define RK_RATE_MASK_12000 0x00000100
    #define RK_RATE_MASK_14400 0x00000200
    #define RK_RATE_MASK_16800 0x00000400
    #define RK_RATE_MASK_19200 0x00000800
20  #define RK_RATE_MASK_21600 0x00001000
    #define RK_RATE_MASK_24000 0x00002000
    #define RK_RATE_MASK_26400 0x00004000
    #define RK_RATE_MASK_28800 0x00008000
    #define RK_RATE_MASK_31200 0x00010000
25  #define RK_RATE_MASK_33600 0x00020000
    #define RK_RATE_MASK_32000 0x00040000
    #define RK_RATE_MASK_34000 0x00080000
    #define RK_RATE_MASK_36000 0x00100000
    #define RK_RATE_MASK_38000 0x00200000
30  #define RK_RATE_MASK_40000 0x00400000
    #define RK_RATE_MASK_42000 0x00800000
    #define RK_RATE_MASK_44000 0x01000000
    #define RK_RATE_MASK_46000 0x02000000
    #define RK_RATE_MASK_48000 0x04000000
35  #define RK_RATE_MASK_50000 0x08000000
    #define RK_RATE_MASK_52000 0x10000000
    #define RK_RATE_MASK_54000 0x20000000
    #define RK_RATE_MASK_56000 0x40000000

40  // DataPump type codes
    typedef enum {
        RKID_V32BIS = 0,
        RKID_V34,
        RKID_V22BIS,
45  RKID_V23,
        RKID_V21,

        RKID_V17,
        RKID_V29,
50  RKID_V27,

        RKID_V8,

```

```

    RKID_TONE_DET,
    RKID_TONE_GEN,
    RKID_DTMF_DET,
5   RKID_DTMF_GEN,
    RKID_CR_TONE_DET,
    RKID_CR_TONE_GEN,

    RKID_RKSAMPLE,
10   RKID_ANS_DET,
    RKID_ANS_GEN,
    RKID_WINAC,
    RKID_ROKV42,

15   RKID_K56FLEX,
    RKID_BELL103,
    RKID_BELL212A,
    RKID_SPKP,
    RKID_VOICE,

20   RKID_V90,

    RKID_AMOS,

25   RKID_LAST,
} RK_DP_IDS;

// Offset definitions:
30 #define COMMON_RK_CODES      0

    #define RKSAMPLE_RK_CODES  2000
    #define WINAC_RK_CODES     3000
    #define V42_RK_CODES       4000
35   #define AUTOMODE_RK_CODES  6000
    #define V8_RK_CODES        7000           // V8, V8BIS

    #define V21_RK_CODES       10000
40   #define V22_RK_CODES       11000           // V22, Bell-212A
    #define FSK_RK_CODES       12000           // V23, Bell-103

    #define FAX_RK_CODES       14000           // V27, V27BIS, V27TER, V29, V17

45   #define V32_RK_CODES       16000           // V32, V32BIS

    #define V34_RK_CODES       18000

    #define V90_RK_CODES       20000           // K56FLEX, V90
50   #define SPKP_RK_CODES      25000

    #define VOICE_RK_CODES     26000

55   #define AMOS_RK_CODES      27000

```

```

// Modem Config Codes
(In)      Parameter (Out)      Parameter
typedef enum
5 {
// ***** Common Constants *****

    // Select Symbol Rate (no impact if Autorate is enabled)
    RKCFG_TX_SYMBOL_RATE = COMMON_RK_CODES, // INT -
10 Symbol Rate None
    RKCFG_RX_SYMBOL_RATE, // INT
    - Symbol Rate None
    // Force Bit Rate
    RKCFG_BIT_RATE_RX_MAX, // INT
15 - Bit Rate None
    RKCFG_BIT_RATE_TX_MAX, // INT
    - Bit Rate None
    RKCFG_BIT_RATE_RX_MIN, // INT
    - Bit Rate None
20 RKCFG_BIT_RATE_TX_MIN, // INT
    - Bit Rate None

    // Select connection type ( Half or Full Duplex )
    RKCFG_CONNECTION_TYPE, // DWORD
25 (FDplex=0,HDplex=1) None

    // Tx Transmission Power: {Minimum, Maximum, Default, Offset}
    // (values in dBm, offset in dB). Offset is for compensation on
    hardware gain.
30 RKCFG_TX_SIGNAL_POWER, //
    char[4] None

    // Enable/Disable Rate Renegotiation
    RKCFG_RENEG_ENABLE, //
35 BOOL - Yes/No None
    // Enable/Disable Retrain
    RKCFG_RETRAIN_ENABLE, //
    BOOL - Yes/No None

40 // Enable/Disable Rx Freeze
    RKCFG_RX_FREEZE_ENABLE, //
    BOOL - Yes/No None
    // Enable/Disable Echo Canceller Freeze
    RKCFG_EC_FREEZE_ENABLE, //
45 BOOL - Yes/No None

    RKCFG_RECORD_SESSION, //
    BOOL - Yes/No None
    RKCFG_SESSION_NAME, //
50 char * name None

    RKCFG_NO_CARRIER_TIMEOUT, //
    DWORD - in seconds None

```

```

        RKCFG_START_AT_DATA,                                //
        BOOL - Yes/No                                     None

        RKCFG_REMOTE_IS_ROCKWELL,                            //
5        BOOL - Yes/No                                     None

        RKCFG_MODEM_SETTINGS,
// ***** Win AC Constants *****

10        RKCFG_EC_MODE = WINAC_RK_CODES,                    //
        DWORD(ERROR_CONTROL_MODE)
        RKCFG_CMPRS_MODE,                                    //
        DWORD(COMPRESSSION_MODE)
        RKCFG_ACTIVE_MODULATION,                            //
15        DWORD(RK_DP_IDS)

// ***** Auto-Mode Constants *****

        // Enable/Disable Automode
20        RKCFG_AUTOMODE_ENABLE =AUTOMODE_RK_CODES, //      BOOL -
        Yes/No                                     None

        // Transmit Timeout for detection for V32
        RKCFG_TRANSMIT_TIMEOUT,                             //
25        DWORD ms                                     None

// ***** V8 Constants *****

30        RKCFG_V8_SUPPORT_CI = V8_RK_CODES,                //      BOOL -
        Yes/No                                     None

        RKCFG_V8_CI_CALLING_FUNCTION_SEQUENCE,             //      BYTE
        None

35        RKCFG_V8_CI_ON_CADENCE,                            //
        DWORD ms cadence None
        RKCFG_V8_CI_OFF_CADENCE,                            //
        DWORD ms cadence None
40        RKCFG_V8_AS_CI_DET,                                //
        BOOL                                     None
// ***** V21 Constants *****

        RKCFG_V21RX_HIGH_CHANNEL = V21_RK_CODES, //      BOOL -
45        Yes/No                                     None
        RKCFG_V21TX_HIGH_CHANNEL,                          //
        BOOL - Yes/No                                     None

        RKCFG_V21_DATA_MODE,                                //
50        BOOL                                     None

// ***** V22 Constants (V22, Bell-212A) *****

        RKCFG_V22_TO_BELL_212A = V22_RK_CODES, //      BOOL -
55        Yes/No                                     None

```

```

// ***** FSK Modulations Constants (V23, Bell-103) *****

5   RKCFG_FSK_BACK_CHANNEL = FSK_RK_CODES,    //      BOOL -
    Yes/No      None

    RKCFG_FSK_V23_CHANNEL,
    BOOL - Yes/No      None      //

10  RKCFG_FSK_BELL103_CHANNEL,
    BOOL - Yes/No      None      //

    RKCFG_FSK_FOR_CID,
    BOOL - Yes/No      None      //

15  // ***** Fax Constants (V27, V29, V17) *****

    // Define Retrain between Pages as Short or Long
    RKCFG_LONG_RETRAIN = FAX_RK_CODES,    //      BOOL
    (TRUE=Long) None

20  // ***** V32 Constants *****

    RKCFG_V32BIS_TO_V32 = V32_RK_CODES,    //      BOOL -
    Yes/No      None

25  RKCFG_V32_TRELLIS_SUPPORT,
    BOOL - Yes/No      None      //

    // ***** V34 Constants *****

30  // Select Carrier Frequency
    RKCFG_RX_CARRIER_FREQ = V34_RK_CODES,    //
    V34_carrier_t      None

    // Enable/Disable Transmit Power Drop
35  RKCFG_TX_POWER_DROP_ENABLE,
    BOOL - Yes/No      None      //
    // Select Transmit Power Level
    RKCFG_TX_POWER_DROP,
    - Level      None      //      INT

40  // Select Requested Power Drop
    RKCFG_REQUESTED_POWER_DROP,
    DWORD      None      //

    // Enable/Disable Precoding
45  RKCFG_PRECODING_ENABLE,
    BOOL - Yes/No      None      //
    // Set Precoding Coefficients
    RKCFG_PRECODING_COEFFS,
    Array of coeffs      None      //      SHORT[6] -

50  // Transmitter Preemphasis Filter
    RKCFG_TX_PREEMPHASIS_FILTER,
    Filter Index      None      //      INT -
    // Requested Preemphasis Filter

```



```

        RKCFG_REQUESTED_PREEMPHASIS_FILTER,          //          INT -
Filter Index      None

        // Enable/Disable Constellation Expansion
5      RKCFG_CONSTELLATION_EXPAND_ENABLE,          //          BOOL -
Yes/No            None
        // Enable/Disable Warping
        RKCFG_WARP_ENABLE,                          //
        BOOL - Yes/No      None
10     // ***** V90 Constants (K56FLEX, V90) *****

        // set the encoding law for flex 1 indicates A-law coding, 0 indicates
u-law
        RKCFG_ENCODING_LAW    = V90_RK_CODES,        //          BOOL
15     (TRUE=A_Law)      None

        // ***** SpeakerPhone Constants *****

        // Hardware Delay
20     RKCFG_EC_DELAY    = SPKP_RK_CODES,          //{SPKP_MODULE, INT - No of
Samples}      None
        // Cross-Correlator Length
        RKCFG_CC_LENGTH,                          //          INT
- No of Taps      None
25     RKCFG_DMP_MASK,

        RKCFG_INITIAL_FULL_DUPLEX_MEASURE,

30     } RK_CFG_CODES;

        // Modem Control Codes
typedef enum
{
35     // ***** Common Constants *****

        // Initiate Retrain
        RKCTL_RETRAIN    = COMMON_RK_CODES,        //          None
        None
40     // Initiate Rate Renegotiation
        RKCTL_RENEG,                          //
        INT - Bit Rate      None
        // Terminate Connection Gracefully
        RKCTL_CLEARDOWN,                      //
45     None                  None

        // Squelch Tx Signal
        RKCTL_TX_SQUELCH,                      //
        None                  None
50     // Use the SendCommand
        RKCTL_SEND_COMMAND,                      //
        {DWORD[2] - Command, Param}      None

55     // WinAC constants

```

```

    RKCTL_MODEM_SLEEP = WINAC_RK_CODES,      //          DWORD
        None

// ***** Fax Constants (V27, V29, V17) *****
5
    // Define Retrain between Pages as Short or Long
    RKCTL_LONG_RETRAIN = FAX_RK_CODES,      //          BOOL
    (TRUE=Long) None

10 // ***** V34 Constants *****

    // Must be sent before RKMOM_DATA_RES_ECHO_GET
    RKCTL_DATA_RES_ECHO_REQUEST=V34_RK_CODES, //          None
        None

15 // ***** SpeakerPhone Constants *****

    // Speakerphone Mode (FD, HD, HS)
    RKCTL_SPKP_MODE = SPKP_RK_CODES,        //          SPKPMode
        None

20 // Output Mute
    RKCTL_IO_MUTE,                          //
    {SPKP_PROBE, BOOL - Yes/No}             None

    // Echo Cancellers
25 RKCTL_FILTER_LENGTH,                     // {SPKP_MODULE, INT - No
    of Taps} None
    RKCTL_EC_OPERATE,                       // {SPKP_MODULE, BOOL -
    Yes/No} None
    RKCTL_ADAPT_ENABLED,                   // {SPKP_MODULE, BOOL -
30 Yes/No} None
    // AGC and Sw-Loss
    RKCTL_AMP_ENABLED,                     //
    {SPKP_MODULE, BOOL - Yes/No}           None

    // Gains
35 RKCTL_GAIN,                             // {SPKP_MODULE*, INT*/FLOAT* -
    Gain, GAIN_FORMAT*} None

    RKCTL_INIT_GAIN,
    RKCTL_MAX_GAIN,
40 RKCTL_FULL_DUPLEX_MEASURE,

    RKCTL_NOISE_INSERTION_LENGTH,
    RKCTL_NOISE_INSERTION_ENABLE,

45 RKCTL_FADE_IN_LENGTH,
    RKCTL_FADE_IN_ENABLE,

    RKCTL_UPSTEP,

50 RKCTL_MIN_LINE_OUT_POWER,

    RKCTL_LINE_OUT_SILENCE_GAIN_REDUCTION,

// ***** AMOS Constants *****
55 RKCTL_CREATE_DATAPUMP = AMOS_RK_CODES,

```

```

        RKCTL_DESTROY_DATAPUMP,

    } RK_CTL_CODES;

5  // Modem Monitor Codes
    typedef enum
    {
        // ***** Common Constants *****

10         RKMONTX_SAMPLE_RATE = COMMON_RK_CODES,    // None
            DWORD - Sample Rate
        RKMONTX_SAMPLE_RATE,    //
        None                    DWORD - Sample Rate
        RKMONTX_SYMBOL_RATE,    //
15         None                    INT - Symbol Rate
        RKMONTX_SYMBOL_RATE,    //
        None                    INT - Symbol Rate
        RKMONTX_BIT_RATE,    //
        None                    INT - Bit Rate
20         RKMONTX_BIT_RATE,    //
        None                    INT - Bit Rate

        RKMONTX_CARRIER_FREQUENCY ,    // None
            DWORD - (Hz)
25         RKMONTX_CARRIER_FREQUENCY ,    // None
            DWORD - (Hz)
        RKMONTX_SIGNAL_POWER ,    //
        None                    Float - (dBm)
        RKMONTX_SIGNAL_POWER ,    //
30         None                    Float - (dBm)

        // Constellation points
        RKMONTX_SCATTER,    //
        None                    float* - pointer to pairs of points
35         // Gain needed for scatter plot
        RKMONTX_NORM_FACTOR,    //
        None                    float

        RKMONTX_ROUND_TRIP_DELAY,    //
40         None                    INT - R.T.D in 8k samples per sec.

        // M.S.E at Rate selection [dB]
        RKMONTX_BASE_MSE,    //
        None                    Float
45         // Mean Square Error [dB]
        RKMONTX_MSE,    //
        None                    Float

        // Signal to Noise Ratio (dB)
50         RKMONTX_SNR ,    //
        None                    Float
        RKMONTX_EQM ,    //
        None                    float - (dB)

```

```

    RKMON_SUPPORTED_BIT_RATES_MASK ,           //           None
        DWORD (masks of RK_RATE_MASK_ defined above)
    RKMON_FE_ECHO_DELAY,

5      RKMON_AUDIO_TX_SAMPLE_RATE,             //
    None                                     DWORD - Sample Rate
    RKMON_AUDIO_RX_SAMPLE_RATE,               //
    None                                     DWORD - Sample Rate

10     RKMON_SETTINGS_INFO,
        RKMON_SETTINGS_BLOCKS,
// ***** Rksample Constants *****

        // Num of microseconds in last interrupt
15     RKMON_LAST_INT_CPU = RKSAMPLE_RK_CODES, //           None
        DWORD
        // Num of microseconds between last 2 interrupts
        RKMON_LAST_INT_LATENCY ,             //
    None                                     DWORD
20     // Num of microseconds in longest interrupt
    RKMON_MAX_INT_CPU ,                       //
    None                                     DWORD
        // Longest latency between 2 interrupts (microseconds)
    RKMON_MAX_INT_LATENCY ,                   //
25     None                                     DWORD
        // Num of samples overrun occurred in the past
    RKMON_SAMPLES_OVERRUNS ,                 //
    None                                     DWORD
        // Num of samples occurred in the past
30     RKMON_SAMPLES_UNDERRUNS,               //
    None                                     DWORD
        // Num of bus overruns occurred in the past
    RKMON_BUS_OVERRUNS ,                     //
    None                                     DWORD
35     // Num of bus underruns occurred in the past
    RKMON_BUS_UNDERRUNS,                     //
    None                                     DWORD
        // Operating speed
    RKMON_OPERATING_SPEED,                   //
40     None                                     DWORD

// ***** WinAc Constants *****

        // Index (WinAc style) of the active modulation
45     RKMON_ACTIVE_MODULATION=WINAC_RK_CODES, //           None
        DWORD
    RKMON_MODEM_STATE,                       //
    None                                     DWORD
    RKMON_MODEM_SLEEP,                       //
50     None                                     DWORD
        // RKMON_CALL_SETUP_RES - identical
        // to field no. 1 in AT#UD
    RKMON_CALL_SETUP_RES,                     //
    None                                     DWORD
55     // RKMON_MULTI_MEDIA_MODE - identical

```

```

// to field no. 2 in AT#UD
RKMON_MULTI_MEDIA_MODE, //
None DWORD
// RKMON_V8_CM - identical to field no.
5 // 4 in AT#UD. Returns a pointer to string.
RKMON_V8_CM, //
None PCHAR
// RKMON_V8_JM - identical to field no.
10 // 5 in AT#UD. Returns a pointer to string.
RKMON_V8_JM, //
None PCHAR
// RKMON_TX_NEG_RES - identical to
// field no. 20 in AT#UD
RKMON_TX_NEG_RES, //
15 None DWORD
// RKMON_RX_NEG_RES - identical to
// field no. 21 in AT#UD
RKMON_RX_NEG_RES, //
None DWORD
20 // RKMON_CARRIER_LOSS_EV_CNT -
// identical to field no. 30 in AT#UD
RKMON_CARRIER_LOSS_EV_CNT, //
None DWORD
// RKMON_RATE_RENEG_EV_CNT -
25 // identical to field no. 31 in AT#UD
RKMON_RATE_RENEG_EV_CNT, //
None DWORD
// RKMON_RTRN_REQ - identical to field
// no. 32 in AT#UD
30 RKMON_RTRN_REQ, //
None DWORD
// RKMON_RTRN_GRANTED - identical to
// field no. 33 in AT#UD
RKMON_RTRN_GRANTED, //
35 None DWORD
// RKMON_PROTOCOL_NEG_RES - identical
// to field no. 40 in AT#UD
RKMON_PROTOCOL_NEG_RES, //
None DWORD
40 // RKMON_EC_FRAME_SIZE - identical to
// field no. 41 in AT#UD
RKMON_EC_FRAME_SIZE, //
None DWORD
// RKMON_EC_LINK_TIMEOUTS - identical
45 // to field no. 42 in AT#UD
RKMON_EC_LINK_TIMEOUTS, //
None DWORD
// RKMON_EC_LINK_NAKS - identical to
// field no. 43 in AT#UD
50 RKMON_EC_LINK_NAKS, //
None DWORD
// RKMON_CMPRS_NEG_RES - identical to
// field no. 44 in AT#UD
RKMON_CMPRS_NEG_RES, //
55 None DWORD

```

```

// RKMOM_CMPRS_DICT_SIZE - identical to
// field no. 45 in AT#UD
RKMOM_CMPRS_DICT_SIZE,
5 None DWORD //
// RKMOM_TX_FLOW_CTRL - identical to
// field no. 50 in AT#UD
RKMOM_TX_FLOW_CTRL,
10 None DWORD //
// RKMOM_RX_FLOW_CTRL - identical to
// field no. 51 in AT#UD
RKMOM_RX_FLOW_CTRL,
15 None DWORD //
// RKMOM_TOTAL_TX_CHARS - identical to
// field no. 52 in AT#UD
RKMOM_TOTAL_TX_CHARS,
20 None DWORD //
// RKMOM_TERMINATION_CAUSE - identical
// to field no. 60 in AT#UD
RKMOM_TERMINATION_CAUSE,
25 None DWORD //
// RKMOM_CALL_WAIT_EV_CNT - identical
// to field no. 61 in AT#UD (not supported)
RKMOM_CALL_WAIT_EV_CNT,
30 None DWORD //
RKMOM_CPU_VENDOR,
None PCHAR //
RKMOM_CACHE_SIZE,
None DWORD //
RKMOM_NUMBER_CALLED,
None PCHAR //
35 RKMOM_TIMER_RESOLUTION,
DWORD // None

// ***** V42 Constants *****

40 // Number of V42 BLERS
RKMOM_BLER = V42_RK_CODES, // None
DWORD

// ***** Fax Constants (V27, V29, V17) *****

45 // Whether Retrain between Pages is Short or Long
RKMOM_LONG_RETRAIN = FAX_RK_CODES, // None
BOOL (TRUE=Long)

50 // ***** V34 Constants *****

// Transmit Power Drop [dB]
RKMOM_TX_POWER_DROP = V34_RK_CODES, // None
INT

55 // Power Drop [dB] that was requested from remote modem

```

```

    RKMON_RX_POWER_DROP,          //
    None                          INT

    // Transmitter Preemphasis Filter
5   RKMON_TX_PREEMPHASIS_FILTER,  //          None
        INT - Filter Index
    // other side's Preemphasis Filter
    RKMON_RX_PREEMPHASIS_FILTER,  //          None
        INT - Filter Index

10

    // Residual Echo in training [dB]
    RKMON_TRN_RESIDUAL_ECHO,      //
    None                          Float
15   // Residual Echo in data [dB] (must be sent after
    RKCTL_DATA_RES_ECHO_REQUEST)
    RKMON_DATA_RES_ECHO_GET,      //
    None                          Float
    // Near End Echo [dB]
20   RKMON_NE_ECHO_POWER,        //
    None                          Float
    // Far End Echo [dB]
    RKMON_FE_ECHO_POWER,         //
    None                          Float
25

    // Timing Drift [ppm]
    RKMON_TIMING_DRIFT,          //
    None                          Float
    // Frequency Offset [Hz]
30   RKMON_FREQ_OFFSET,         //
    None                          Float

    // ***** V90 Constants (K56FLEX, V90) *****

35   // Robbed Bits Signaling
    RKMON_RBS_DETECTED = V90_RK_CODES, //          None
        DWORD RBS frame 0 to 63 (1' indicate robbed bit)
    // PCM Pad
    RKMON_PAD_DETECTED,          //
40   None                        DWORD PAD 0=NORMAL ,3=3dBPad 6=6dBPad

    // High Pass filter enabled
    RKMON_HIGHPASS_FILTER_ENABLED, //
    None                          BOOL - Yes/No
45

    // ***** SpeakerPhone Constants *****

    // Speakerphone Mode (FD, HD, HS)
    RKMON_SPKP_MODE = SPKP_RK_CODES, //          None
        SPKPMode
    // State
    RKMON_STATE,                //
    None                          SPKPState
    // Input-Output Mute

```

```

    RKMON_IO_MUTE,                                //
    SPKP_PROBE                                BOOL - Yes/No
    RKMON_SATURATION,                            //
    SPKP_PROBE                                BOOL - Yes/No
5    RKMON_DC_LEVEL,                            //
    SPKP_PROBE                                FLOAT
    // Echo Cancellers
    RKMON_FILTER_LENGTH,                        //
    SPKP_MODULE                                INT - No of Taps
10    RKMON_EC_OPERATE,                        //
    SPKP_MODULE                                BOOL - Yes/No
    RKMON_ADAPT_ENABLED,                        //
    SPKP_MODULE                                BOOL - Yes/No
15    RKMON_EC_DELAY,                            //
    SPKP_MODULE                                INT - No of Samples
    // AGC and Sw-Loss
    RKMON_AMP_ENABLED,                            //
    SPKP_MODULE                                BOOL - Yes/No
    // Powers
20    RKMON_POWER,                            //
    SPKP_PROBE                                FLOAT - Power [dB]
    RKMON_NOISE_POWER,                            //
    SPKP_PROBE                                FLOAT - Power [dB]
    // Gains
25    RKMON_GAIN,                            //
    {SPKP_MODULE,GAIN_FORMAT}                INT/FLOAT - Gain [Scaled,dB,Linear]
    // Gain Estimations
    RKMON_ECHO_PATH_GAIN,                        //
    ECHO_PATH                                FLOAT - Gain [dB]
30    RKMON_EC_GAIN,                            //
    SPKP_MODULE                                FLOAT - Gain [dB]
    RKMON_RES_ECHO_GAIN,                        //
    SPKP_MODULE                                FLOAT - Gain [dB]

35    RKMON_INIT_GAIN,
    RKMON_MAX_GAIN,
    RKMON_FULL_DUPLEX_MEASURE,

40    RKMON_TONE_DETECT,

    RKMON_NOISE_INSERTION_LENGTH,
    RKMON_NOISE_INSERTION_ENABLE,

45    RKMON_FADE_IN_LENGTH,
    RKMON_FADE_IN_ENABLE,

    RKMON_UPSTEP,

    RKMON_MIN_LINE_OUT_POWER,

50    RKMON_DMP_MASK,

    RKMON_LINE_OUT_SILENCE_GAIN_REDUCTION,

55    RKMON_INITIAL_FULL_DUPLEX_MEASURE,

```



```

// ***** Voice Constants *****
    RKMON_VOICE_AVG_POWER = VOICE_RK_CODES,

5   } RK_MON_CODES;

// SPKP Modules
typedef enum {
    LINEIN_AMP,
10   LEC,  TONE_DET,  RX_SD,  RX_SW_LOSS,  RX_AGC,
    SPKR_AMP,
    MIC_AMP,
    AEC,  TX_SD,  TX_SW_LOSS,  TX_AGC,
    LINEOUT_AMP,
15  ALL_MODULES
} SPKP_MODULE;

// SPKP Probing points
typedef enum {
20   LINEIN,
    LEC_IN,  LEC_OUT,  RX_AGC_OUT,
    SPKR,
    MIC,
    AEC_IN,  AEC_OUT,  TX_AGC_OUT,
25  LINEOUT,
    ALL_PROBES
} SPKP_PROBE;

// Gain Format:  dB  or  Scaled 0-255
30  typedef enum { SCALED , DB , LINEAR }  GAIN_FORMAT;

// Echo Path
typedef enum { ACOUSTIC , LINE }  ECHO_PATH;

35  // Error Control Mode
typedef enum { EC_FORCED, EC_OFF, EC_ON} ERROR_CONTROL_MODE;

// Modem global state
typedef enum {  STATE_INITIALIZING, STATE_IDLE, STATE_ORIGINATE,
40  STATE_ANSWER,
    STATE_V8BIS_HS,  /* STATE_MST, */ STATE_TRAINING,
    STATE_CONNECTED,
    STATE_ESCAPED, STATE_LAL, STATE_LAL_ESCAPED,
    STATE_RDL} MODEM_STATE;
45

// Compression Mode
typedef enum { CMPRS_OFF, CMPRS_ON} COMPRESSION_MODE;

#endif      // _MODEM_CODES_H_

```

## Appendix C

```

#include "dlldefs.h"
#include "ModemCtrl.h"
#include "appinterface.h"
5
#define MAX_ERRORMSG_LEN      200

HANDLE          hModCtrlVxd = NULL;
10 char          ErrorMsg[MAX_ERRORMSG_LEN];

HANDLE WINAPI ModemOpen( DWORD Code )
{
    PCLIENT_INFO    pClient;
15
    if ( hModCtrlVxd == NULL || hModCtrlVxd == INVALID_HANDLE_VALUE ) {
#ifdef WINDOWS_NT
        hModCtrlVxd = CreateFile( "\\.\MODCTRL.VXD", 0, 0, NULL,
20 NULL);
    #else
        hModCtrlVxd = CreateFile("\\.\MODCTRL0",
                                GENERIC_READ | GENERIC_WRITE,
25 FILE_SHARE_READ,
                                NULL,
                                OPEN_EXISTING,
                                0,
                                NULL);
    #endif
30
    if ( hModCtrlVxd == INVALID_HANDLE_VALUE ) {
        strncpy( ErrorMsg, "Failed to load MODCTRL.VXD",
                MAX_ERRORMSG_LEN );
        return FALSE;
    }
35
    unsigned long    nBytes;

    BOOL rc = DeviceIoControl( hModCtrlVxd,
                                DP_OPEN_MODEM,
40 &Code, sizeof(DWORD),
                                &pClient,
                                sizeof(PCLIENT_INFO) ,
                                &nBytes, NULL );

    if ( rc == 0 ) {
45
        strncpy( ErrorMsg, "DeviceIoControl with Code DP_OPEN_MODEM
Failed",
                MAX_ERRORMSG_LEN );
        return NULL;
    }
50

    return (HANDLE)pClient;

```

```

}

BOOL WINAPI ModemClose( HANDLE hModem )
{
5   if ( hModCtrlVxd == NULL ) {
        strncpy( ErrorMessage, "Can't close modem: ModCtrl.vxd not loaded",
                                MAX_ERRORMSG_LEN );
        return FALSE;
    }
10   if ( hModem == NULL ) {
        strncpy( ErrorMessage, "Can't close modem: NULL handle",
                                MAX_ERRORMSG_LEN );
        return FALSE;
    }

15   unsigned long    nBytes;
   PCLIENT_INFO      pClient = (PCLIENT_INFO)hModem;

   BOOL rc = DeviceIoControl( hModCtrlVxd,
20   DP_CLOSE_MODEM,
   &pClient,
   sizeof(PCLIENT_INFO),
   NULL, 0 ,
   &nBytes, NULL );

25   if ( rc == 0 ) {
        strncpy( ErrorMessage, "DeviceIoControl with Code DP_CLOSE_MODEM
Failed",
                                MAX_ERRORMSG_LEN );
        return NULL;
    }
30   return 1;
}

DWORD WINAPI ModemGetCodesVersion()
35 {
    return MODEM_CODES_VERSION;
}

BOOL WINAPI ModemConfigure(HANDLE hModem, DWORD dwConfigCode, PVOID
40 pInBuffer,
                                DWORD nInBufferSize, PVOID pOutBuffer, DWORD
nOutBufferSize,
                                PDWORD pBytesReturned )
{
45   BOOL rc;
   MODEMCTRL_DATA ModemCtrlData;
   PCLIENT_INFO pClient = (PCLIENT_INFO)hModem;
   DWORD BytesReturned;
#ifdef WINDOWS_NT
50   UPDATE_STRUCT UpdateClient;
#endif

   if ( hModem == NULL ) {
65   MAX_ERRORMSG_LEN );
        strncpy( ErrorMessage, "ModemConfigure failed: HANDLE is NULL",

```

```

        return FALSE;
    }

#ifdef WINDOWS_NT
5      rc = DeviceIoControl( hModCtrlVxd,
                           DP_UPDATE_MODEM,
                           &hModem, sizeof(DWORD),
                           &UpdateClient, sizeof(UPDATE_STRUCT),
                           &BytesReturned, NULL );

10      if ( rc == FALSE )
        {
            return FALSE;
        }
        if (( UpdateClient.Status == DPACTIVE ) && (UpdateClient.ID !=
15      RKID_WINAC)) {
        #else
            if (( pClient -> Status == DPACTIVE ) && (pClient -> ID !=
            RKID_WINAC)) {
        #endif
20          // Can't configure an active modulation, unless it is WinAC.
            strncpy( ErrorMessage, "Modem is active", MAX_ERRORMSG_LEN );
            return FALSE;
        }

25      #ifdef WINDOWS_NT
        ModemCtrlData.ObjectID = UpdateClient.ID;
        #else
        ModemCtrlData.ObjectID = pClient -> ID;
        #endif
30      ModemCtrlData.CodeIndex = dwConfigCode;
        ModemCtrlData.pInBuffer = pInBuffer;
        ModemCtrlData.cbInBuffer = nInBufferSize;
        ModemCtrlData.pOutBuffer = pOutBuffer;
        ModemCtrlData.cbOutBuffer = nOutBufferSize;
35      ModemCtrlData.pBytesReturned = pBytesReturned;

        rc = DeviceIoControl( hModCtrlVxd,
                           DP_CONFIGURE_MODEM,
                           &ModemCtrlData,
40      sizeof(MODEMCTRL_DATA),
                           NULL, 0,
                           &BytesReturned, NULL );

        if ( rc == FALSE )
45          strncpy( ErrorMessage, "DeviceIoControl with Code
            DP_CONFIGURE_MODEM Failed",
                   MAX_ERRORMSG_LEN );

        return rc;
50    }

    BOOL WINAPI ModemControl( HANDLE hModem, DWORD dwConfigCode, PVOID
    pInBuffer,
                                DWORD nInBufferSize, PVOID pOutBuffer, DWORD
55    nOutBufferSize,

```

```

                                PDWORD pBytesReturned )
{
    BOOL                rc;
    PCLIENT_INFO        pClient = (PCLIENT_INFO)hModem;
5    DWORD BytesReturned;
#ifdef WINDOWS_NT
    UPDATE_STRUCT        UpdateClient;
#endif
    MODEMCTRL_DATA        ModemCtrlData;
10
    if ( pClient == NULL ) {
        strncpy( ErrorMsg, "ModemControl failed: HANDLE is NULL",
MAX_ERRORMSG_LEN );
        return FALSE;
15    }
#ifdef WINDOWS_NT
    rc = DeviceIoControl( hModCtrlVxd,
                                DP_UPDATE_MODEM,
                                &hModem, sizeof(DWORD),
20                                &UpdateClient, sizeof(UPDATE_STRUCT),
                                &BytesReturned, NULL );

    if ( rc == FALSE )
    {
        return FALSE;
25    }
    if ( UpdateClient.Status != DPACTIVE ) {
#else
    if ( pClient -> Status != DPACTIVE ) {
#endif
30        strncpy( ErrorMsg, "modem is not active", MAX_ERRORMSG_LEN );
        return FALSE;
    }

#ifdef WINDOWS_NT
35    ModemCtrlData.ObjectID = UpdateClient.ID;
#else
    ModemCtrlData.ObjectID = pClient -> ID;
#endif
    ModemCtrlData.CodeIndex = dwConfigCode;
40    ModemCtrlData.pInBuffer = pInBuffer;
    ModemCtrlData.cbInBuffer = nInBufferSize;
    ModemCtrlData.pOutBuffer = pOutBuffer;
    ModemCtrlData.cbOutBuffer = nOutBufferSize;
    ModemCtrlData.pBytesReturned = pBytesReturned;
45
    rc = DeviceIoControl( hModCtrlVxd,
                                DP_CONTROL_MODEM,
                                &ModemCtrlData,
                                sizeof(MODEMCTRL_DATA),
50                                NULL, 0,
                                &BytesReturned, NULL );

    if ( rc == FALSE )
        strncpy( ErrorMsg, "DeviceIoControl with Code DP_CONTROL_MODEM
55    Failed",

```

```

MAX_ERRORMSG_LEN );

    return rc;
}
5
BOOL WINAPI ModemMonitor( HANDLE hModem, DWORD dwConfigCode, PVOID
pInBuffer,
                                DWORD nInBufferSize, PVOID pOutBuffer, DWORD
10
nOutBufferSize,
                                PDWORD pBytesReturned )
{
    BOOL                rc;
    PCLIENT_INFO        pClient = (PCLIENT_INFO)hModem;
    MODEMCTRL_DATA      ModemCtrlData;
15
    DWORD BytesReturned;
#ifdef WINDOWS_NT
    UPDATE_STRUCT        UpdateClient;
#endif

20
    if ( pClient == NULL ) {
        strncpy( ErrorMessage, "ModemMonitor failed: HANDLE is NULL",
MAX_ERRORMSG_LEN );
        return FALSE;
    }

25
#ifdef WINDOWS_NT
    rc = DeviceIoControl( hModCtrlVxd,
                                DP_UPDATE_MODEM,
                                &hModem, sizeof(DWORD),
30
                                &UpdateClient, sizeof(UPDATE_STRUCT),
                                &BytesReturned, NULL );

    if ( rc == FALSE )
    {
        return FALSE;
35
    }
    if ( UpdateClient.Status != DPACTIVE ) {
#else
    if ( pClient -> Status != DPACTIVE ) {
#endif
40
        //strncpy( ErrorMessage, "Modem is not active", MAX_ERRORMSG_LEN );
        return FALSE;
    }

45
#ifdef WINDOWS_NT
    ModemCtrlData.ObjectID = UpdateClient.ID;
#else
    ModemCtrlData.ObjectID = pClient -> ID;
#endif
50
    ModemCtrlData.CodeIndex = dwConfigCode;
    ModemCtrlData.pInBuffer = pInBuffer;
    ModemCtrlData.cbInBuffer = nInBufferSize;
    ModemCtrlData.pOutBuffer = pOutBuffer;
    ModemCtrlData.cbOutBuffer = nOutBufferSize;
55
    ModemCtrlData.pBytesReturned = pBytesReturned;

```

```
rc = DeviceIoControl( hModCtrlVxd,
                      DP_MONITOR_MODEM,
                      &ModemCtrlData,
5      sizeof(MODEMCTRL_DATA),
                      pOutBuffer, nOutBufferSize,
                      pBytesReturned, NULL );

    if ( rc == FALSE )
10      strncpy( ErrorMsg, "DeviceIoControl with Code DP_MONITOR_MODEM
Failed",
                MAX_ERRORMSG_LEN );

    return rc;
15 }

VOID WINAPI ModemGetLastError( PCHAR pBuf, DWORD nBuf )
{
    strncpy( pBuf, ErrorMsg, nBuf );
20 }
```

CLAIMS

1. A communication system comprising:

a modem;

a communication channel;

5 the modem having internal settings representing communication parameters, the modem being communicatively coupled to the communication channel to carry out ongoing communications from the modem through the communication channel; and

a software module being associated with the modem, the software module accessing the internal settings of the modem via the communication channel and performing diagnostics  
10 using the internal settings of the modem.

2. The communication system of claim 1 wherein the software module further comprises a modem interface that interacts with the software module and assists the software module in performing diagnostics using the internal parameters of the modem.

3. The communication system of claims 1 or 2 wherein the software module  
15 accesses the communication channel transparently to the ongoing communications from the modem when the software module performs the diagnostics using the internal parameters of the modem.

4. The communication system of claims 1 wherein the software module accesses the communication channel without detrimentally affecting the ongoing communications  
20 across the communication channel.

5. The communication system of claims 1, 2, or 4 wherein the software module performs diagnostics using the internal parameters of the modem via the same communication channel that is used to carry out ongoing communications to and from the modem.

6. The communication system of claim 1 wherein the diagnostics performed by  
25 the software module comprise monitoring a data stream in the communication channel.



7. The communication system of claims 1, 2, 4, or 6 wherein the diagnostics performed by the software module comprise configuring the internal settings of the modem based on information obtained regarding a data stream to and from the modem.

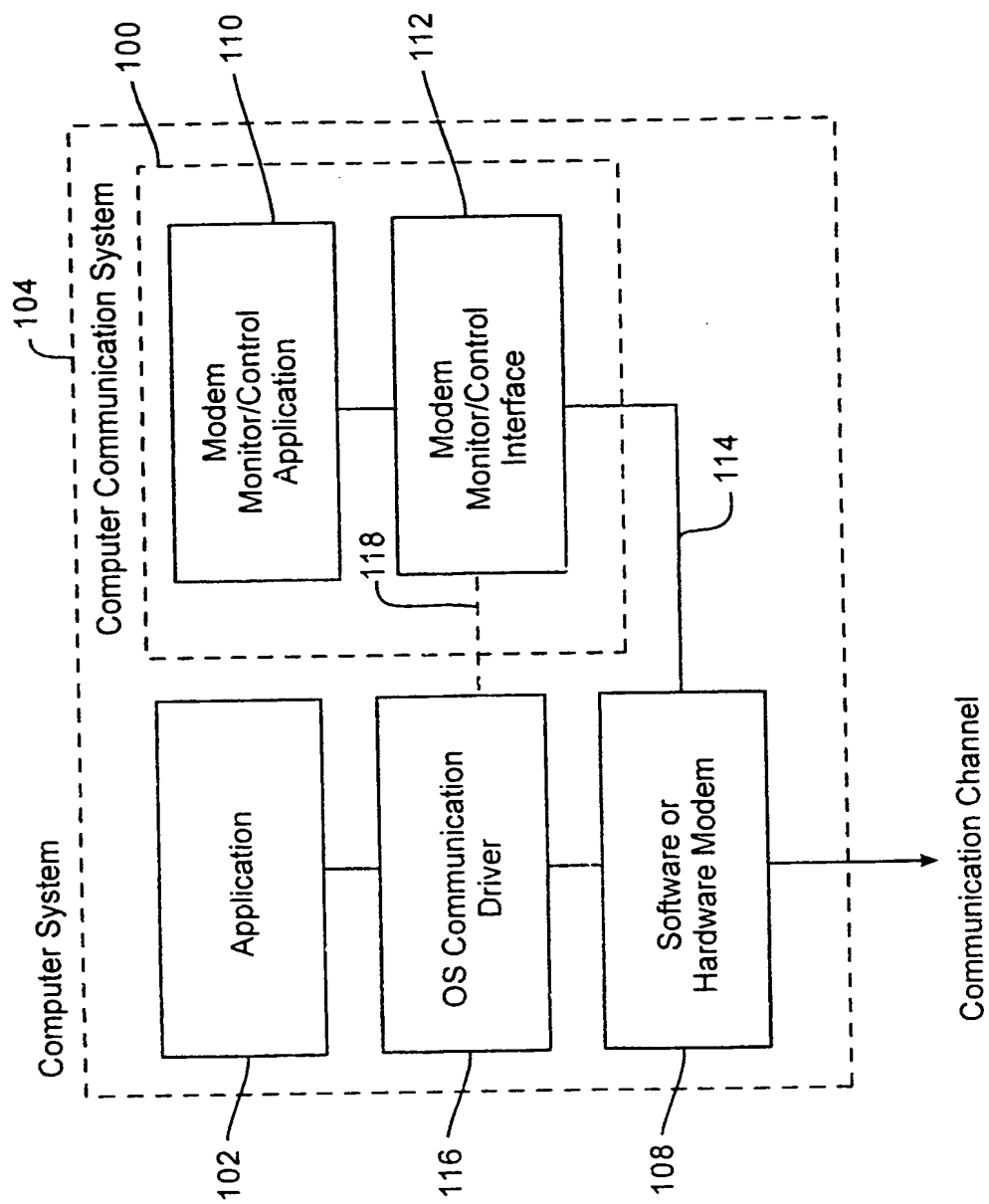
8. The communication system of claims 1, 2, 4, or 6 wherein the diagnostics performed by the software module comprise controlling the internal settings of the modem according to information obtained regarding a data stream to and from the modem.

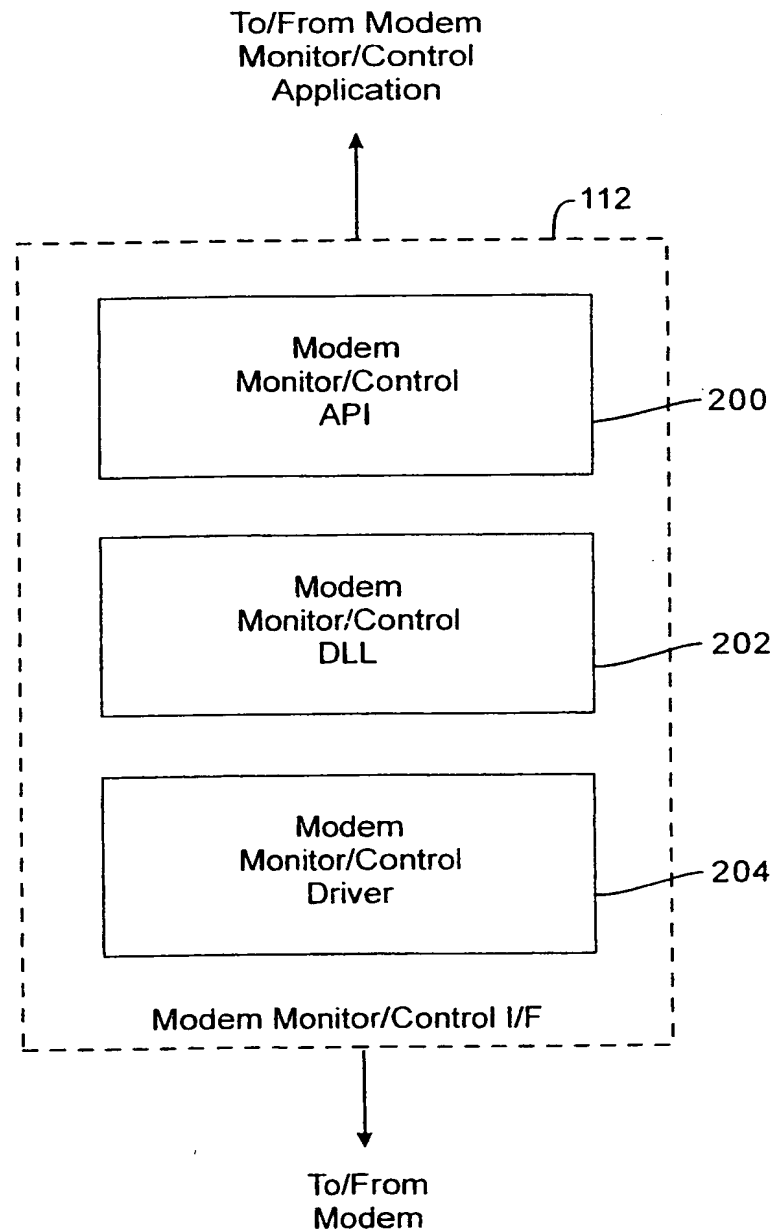
9. The communication system of claims 1, 2, 4, or 6 wherein the software module further comprises a user interactive interface for diagnostics.

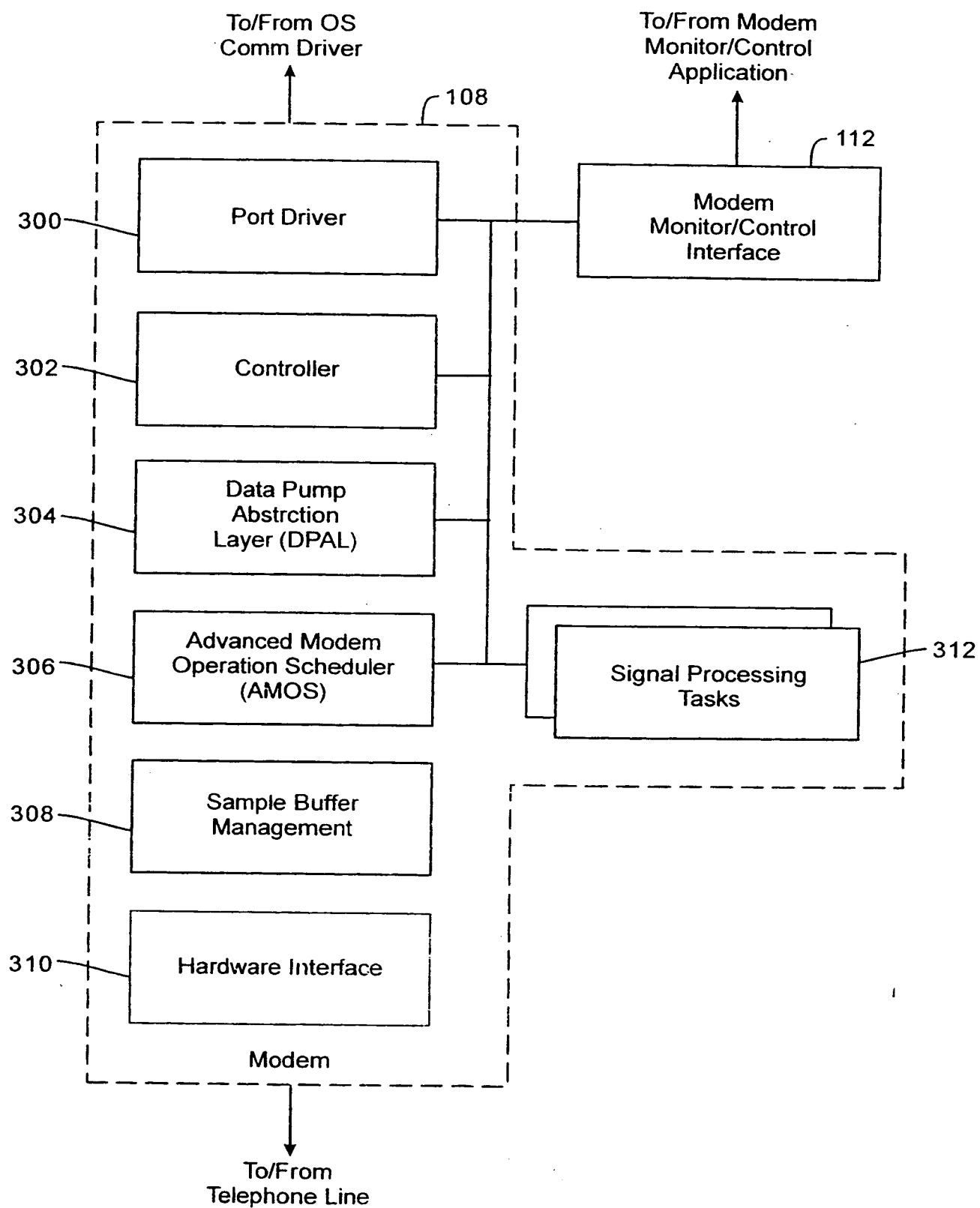
10. The communication system of claims 1, 2, 4, or 6 further comprising a plurality of software modules being associated, respectively, with each of a plurality of modems.

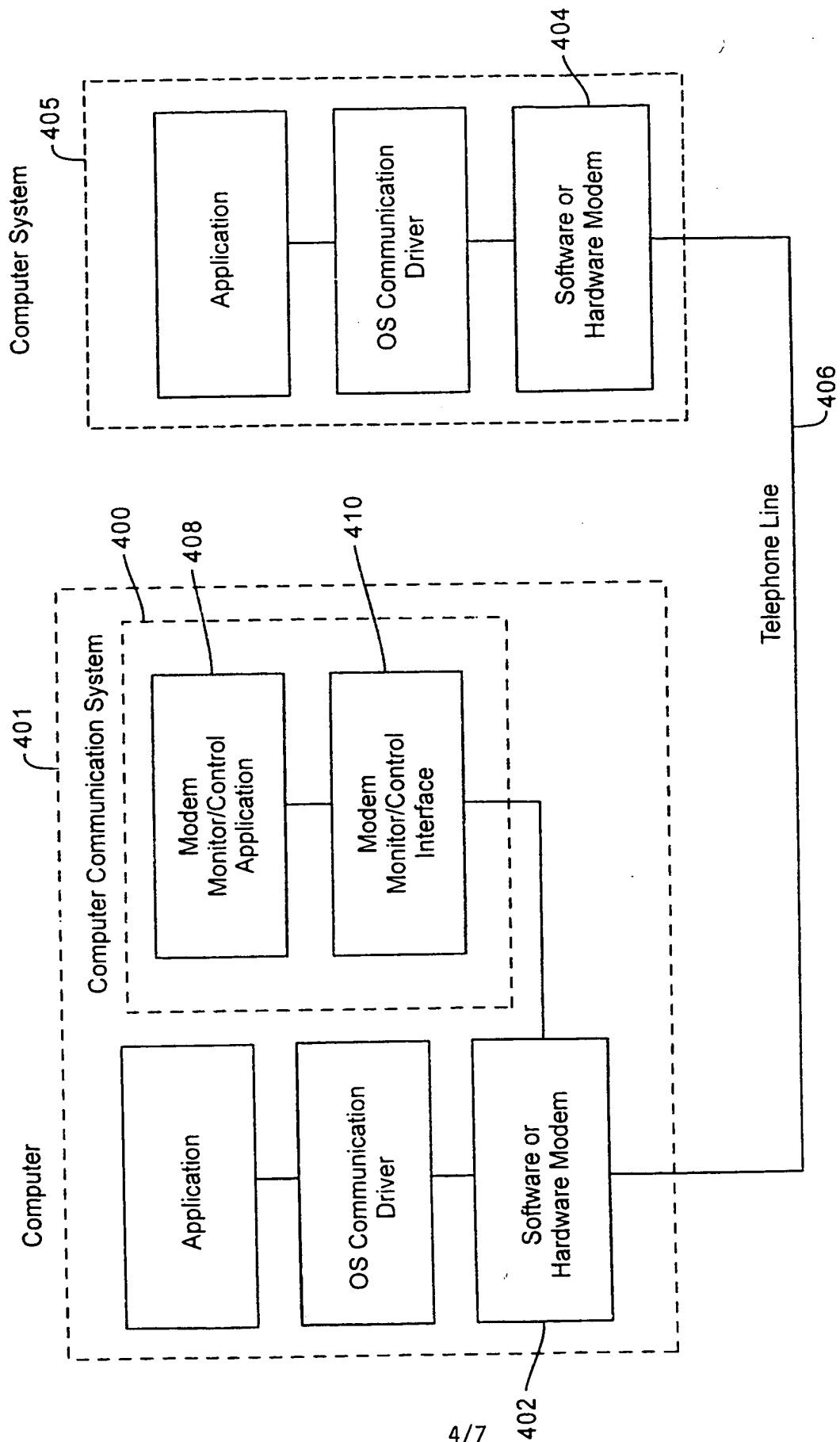
11. The communication system of claims 1, 2, 4, or 6 wherein the modem is communicatively coupled to the communication channel and thus to a network.

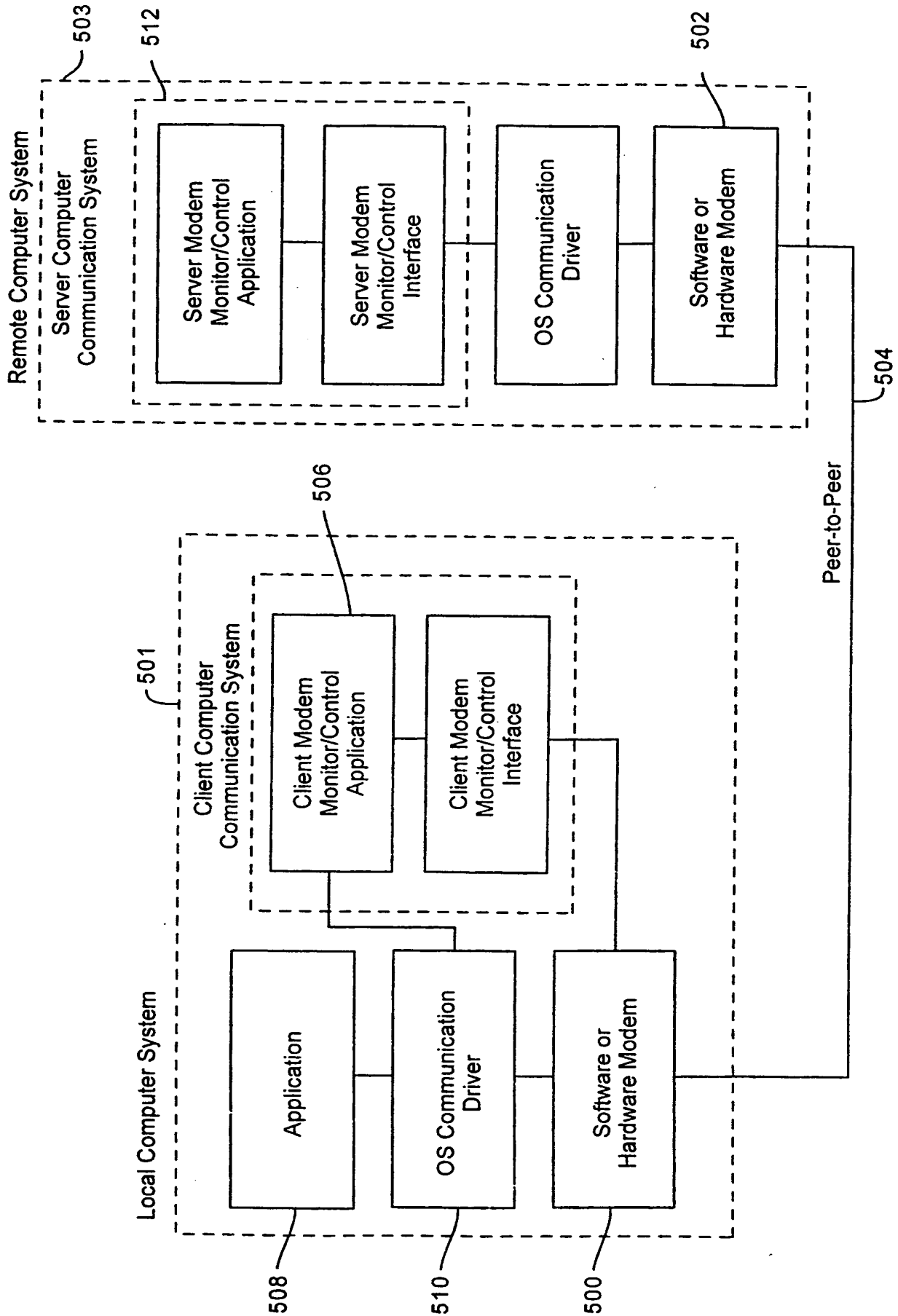
12. The communication system of claims 1, 2, 4, or 6 wherein the network is selected from the group consisting of a local area network, a wide area network, and a global area network.

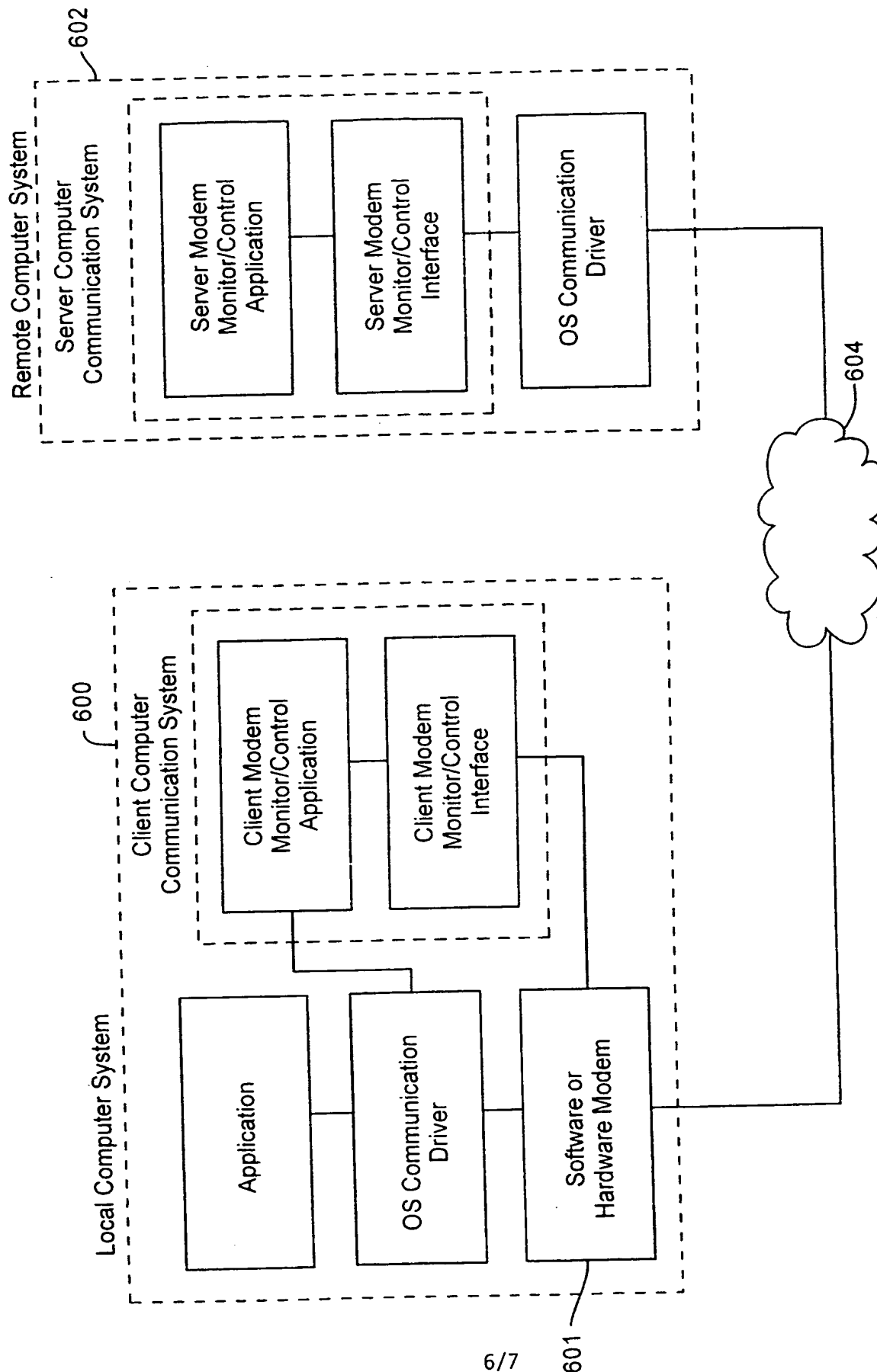


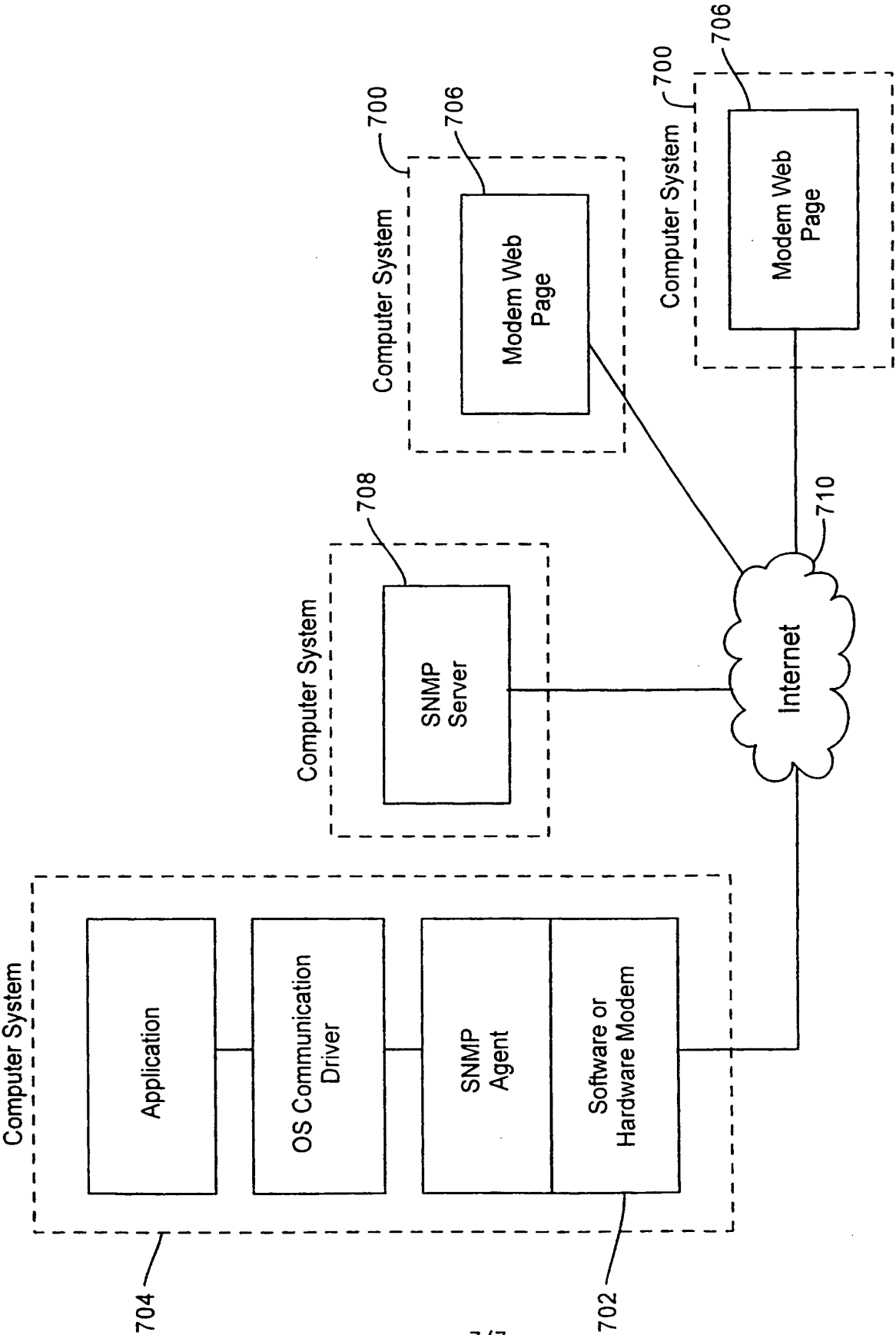














# INTERNATIONAL SEARCH REPORT

In ition: plication No

PCT/US 99/04690

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H04M11/06 H04L12/26 H04L12/24

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04M H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 516 216 A (ARMSTRONG THOMAS R) 7 May 1985	1-4,6-12
Y	see abstract see column 1, line 10 - column 2, line 55 see column 3, line 18 - column 5, line 2 see column 7, line 40 - column 8, line 19 see figure 2	5
Y	US 5 535 242 A (BRIGIDA DAVID J ET AL) 9 July 1996 see abstract see column 1, line 11 - column 3, line 21 see column 4, line 41 - column 6, line 9 see column 7, line 1-24 see figure 5	5
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

5 July 1999

Date of mailing of the international search report

27/07/1999

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# INTERNATIONAL SEARCH REPORT

Inventor: Application No  
PCT/US 99/04690

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 5 613 100 A (ANEZAKI AKIHIRO) 18 March 1997 see abstract see column 1, line 14 - column 3, line 4 see column 4, line 3 - column 8, line 49 see figures 1,3,21 ---	1-4, 10-12 5-9
X	"Dynamic Setting of Modem Parameters" IBM TECHNICAL DISCLOSURE BULLETIN, vol. 26, no. 1, June 1983, pages 261-262, XP002108167 US see the whole document -----	1,2, 10-12

# INTERNATIONAL SEARCH REPORT

information on patent family members

Int .tion: plication No

PCT/US 99/04690

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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US 5535242 A	09-07-1996	US 5528626 A BR 9301307 A CA 2087507 A,C EP 0565229 A JP 2688157 B JP 6085878 A KR 9709296 B	18-06-1996 05-10-1993 01-10-1993 13-10-1993 08-12-1997 25-03-1994 10-06-1997
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